

# Kakutani equivalence of unipotent flows

Adam Kanigowski

Montreal, 07.27.2018  
(joint w. K. Vinhage and D. Wei)

## General setting

- $(X, \mathcal{B}, \mu)$  – probability standard Borel space;
- $(T_t) : (X, \mathcal{B}, \mu) \rightarrow (X, \mathcal{B}, \mu)$  – measure-preserving, **ergodic** flow.

## Isomorphism

$(T_t) : (X, \mathcal{B}, \mu) \rightarrow (X, \mathcal{B}, \mu)$ ,  $(S_t) : (Y, \mathcal{C}, \nu) \rightarrow (Y, \mathcal{C}, \nu)$  are **isomorphic**, if

$$R \circ T_t = S_t \circ R \text{ for } t \in \mathbb{R},$$

where  $R : (X, \mathcal{B}, \mu) \rightarrow (Y, \mathcal{C}, \nu)$  is invertible and  $\nu(C) = \mu(R^{-1}C)$ ,  $C \in \mathcal{C}$ .

## Classification up to isomorphism

**NOT** possible in general (M. Foreman, D. Rudolph, B. Weiss, 2011)

## General setting

- $(X, \mathcal{B}, \mu)$  – probability standard Borel space;
- $(T_t) : (X, \mathcal{B}, \mu) \rightarrow (X, \mathcal{B}, \mu)$  – measure-preserving, **ergodic** flow.

## Isomorphism

$(T_t) : (X, \mathcal{B}, \mu) \rightarrow (X, \mathcal{B}, \mu)$ ,  $(S_t) : (Y, \mathcal{C}, \nu) \rightarrow (Y, \mathcal{C}, \nu)$  are **isomorphic**, if

$$R \circ T_t = S_t \circ R \text{ for } t \in \mathbb{R},$$

where  $R : (X, \mathcal{B}, \mu) \rightarrow (Y, \mathcal{C}, \nu)$  is invertible and  $\nu(C) = \mu(R^{-1}C)$ ,  $C \in \mathcal{C}$ .

## Classification up to isomorphism

**NOT** possible in general (M. Foreman, D. Rudolph, B. Weiss, 2011)

## General setting

- $(X, \mathcal{B}, \mu)$  – probability standard Borel space;
- $(T_t) : (X, \mathcal{B}, \mu) \rightarrow (X, \mathcal{B}, \mu)$  – measure-preserving, **ergodic** flow.

## Isomorphism

$(T_t) : (X, \mathcal{B}, \mu) \rightarrow (X, \mathcal{B}, \mu)$ ,  $(S_t) : (Y, \mathcal{C}, \nu) \rightarrow (Y, \mathcal{C}, \nu)$  are **isomorphic**, if

$$R \circ T_t = S_t \circ R \text{ for } t \in \mathbb{R},$$

where  $R : (X, \mathcal{B}, \mu) \rightarrow (Y, \mathcal{C}, \nu)$  is invertible and  $\nu(C) = \mu(R^{-1}C)$ ,  $C \in \mathcal{C}$ .

## Classification up to isomorphism

**NOT** possible in general (M. Foreman, D. Rudolph, B. Weiss, 2011)

## General setting

- $(X, \mathcal{B}, \mu)$  – probability standard Borel space;
- $(T_t) : (X, \mathcal{B}, \mu) \rightarrow (X, \mathcal{B}, \mu)$  – measure-preserving, **ergodic** flow.

## Isomorphism

$(T_t) : (X, \mathcal{B}, \mu) \rightarrow (X, \mathcal{B}, \mu)$ ,  $(S_t) : (Y, \mathcal{C}, \nu) \rightarrow (Y, \mathcal{C}, \nu)$  are **isomorphic**, if

$$R \circ T_t = S_t \circ R \text{ for } t \in \mathbb{R},$$

where  $R : (X, \mathcal{B}, \mu) \rightarrow (Y, \mathcal{C}, \nu)$  is invertible and  $\nu(C) = \mu(R^{-1}C)$ ,  $C \in \mathcal{C}$ .

## Classification up to isomorphism

**NOT** possible in general (M. Foreman, D. Rudolph, B. Weiss, 2011)

# Orbit and Kakutani equivalence

## Orbit equivalence

- $(T_t)$  and  $(S_t)$  are **orbit equivalent**, if there exists a invertible transformation that maps orbits of  $(T_t)$  to orbits of  $(S_t)$ .
- (Dye's theorem, 1959) Every two ergodic flows are orbit equivalent.

## Kakutani equivalence, 1943

$(T_t) : (X, \mathcal{B}, \mu) \rightarrow (X, \mathcal{B}, \mu)$  and  $(S_t) : (Y, \mathcal{C}, \nu) \rightarrow (Y, \mathcal{C}, \nu)$  are **Kakutani equivalent** (denoted  $(T_t) \stackrel{K}{\sim} (S_t)$ ), if they have isomorphic **sections**, i.e. can be represented as special flows over the same transformation.

## Standard flows

- Let  $(R_t^\alpha) : \mathbb{T}^2 \rightarrow \mathbb{T}^2$ ,  $R_t^\alpha(x, y) = (x + t, y + t\alpha)$ . Then, for every  $\alpha, \beta \notin \mathbb{Q}$ ,  $(R_t^\alpha) \stackrel{K}{\sim} (R_t^\beta)$  (Katok, 1976; Ornstein, Rudolph, Weiss, 1982);
- $(T_t)$  is **standard**, if  $(T_t) \stackrel{K}{\sim} (R_t^\alpha)$  for some  $\alpha \notin \mathbb{Q}$ .

# Orbit and Kakutani equivalence

## Orbit equivalence

- $(T_t)$  and  $(S_t)$  are **orbit equivalent**, if there exists a invertible transformation that maps orbits of  $(T_t)$  to orbits of  $(S_t)$ .
- (Dye's theorem, 1959) Every two ergodic flows are orbit equivalent.

## Kakutani equivalence, 1943

$(T_t) : (X, \mathcal{B}, \mu) \rightarrow (X, \mathcal{B}, \mu)$  and  $(S_t) : (Y, \mathcal{C}, \nu) \rightarrow (Y, \mathcal{C}, \nu)$  are **Kakutani equivalent** (denoted  $(T_t) \stackrel{K}{\sim} (S_t)$ ), if they have isomorphic **sections**, i.e. can be represented as special flows over the same transformation.

## Standard flows

- Let  $(R_t^\alpha) : \mathbb{T}^2 \rightarrow \mathbb{T}^2$ ,  $R_t^\alpha(x, y) = (x + t, y + t\alpha)$ . Then, for every  $\alpha, \beta \notin \mathbb{Q}$ ,  $(R_t^\alpha) \stackrel{K}{\sim} (R_t^\beta)$  (Katok, 1976; Ornstein, Rudolph, Weiss, 1982);
- $(T_t)$  is **standard**, if  $(T_t) \stackrel{K}{\sim} (R_t^\alpha)$  for some  $\alpha \notin \mathbb{Q}$ .

# Orbit and Kakutani equivalence

## Orbit equivalence

- $(T_t)$  and  $(S_t)$  are **orbit equivalent**, if there exists a invertible transformation that maps orbits of  $(T_t)$  to orbits of  $(S_t)$ .
- (Dye's theorem, 1959) Every two ergodic flows are orbit equivalent.

## Kakutani equivalence, 1943

$(T_t) : (X, \mathcal{B}, \mu) \rightarrow (X, \mathcal{B}, \mu)$  and  $(S_t) : (Y, \mathcal{C}, \nu) \rightarrow (Y, \mathcal{C}, \nu)$  are **Kakutani equivalent** (denoted  $(T_t) \stackrel{K}{\sim} (S_t)$ ), if they have isomorphic **sections**, i.e. can be represented as special flows over the same transformation.

## Standard flows

- Let  $(R_t^\alpha) : \mathbb{T}^2 \rightarrow \mathbb{T}^2$ ,  $R_t^\alpha(x, y) = (x + t, y + t\alpha)$ . Then, for every  $\alpha, \beta \notin \mathbb{Q}$ ,  $(R_t^\alpha) \stackrel{K}{\sim} (R_t^\beta)$  (Katok, 1976; Ornstein, Rudolph, Weiss, 1982);
- $(T_t)$  is **standard**, if  $(T_t) \stackrel{K}{\sim} (R_t^\alpha)$  for some  $\alpha \notin \mathbb{Q}$ .



# Orbit and Kakutani equivalence

## Orbit equivalence

- $(T_t)$  and  $(S_t)$  are **orbit equivalent**, if there exists a invertible transformation that maps orbits of  $(T_t)$  to orbits of  $(S_t)$ .
- (Dye's theorem, 1959) Every two ergodic flows are orbit equivalent.

## Kakutani equivalence, 1943

$(T_t) : (X, \mathcal{B}, \mu) \rightarrow (X, \mathcal{B}, \mu)$  and  $(S_t) : (Y, \mathcal{C}, \nu) \rightarrow (Y, \mathcal{C}, \nu)$  are **Kakutani equivalent** (denoted  $(T_t) \stackrel{K}{\sim} (S_t)$ ), if they have isomorphic **sections**, i.e. can be represented as special flows over the same transformation.

## Standard flows

- Let  $(R_t^\alpha) : \mathbb{T}^2 \rightarrow \mathbb{T}^2$ ,  $R_t^\alpha(x, y) = (x + t, y + t\alpha)$ . Then, for every  $\alpha, \beta \notin \mathbb{Q}$ ,  $(R_t^\alpha) \stackrel{K}{\sim} (R_t^\beta)$  (Katok, 1976; Ornstein, Rudolph, Weiss, 1982);
- $(T_t)$  is **standard**, if  $(T_t) \stackrel{K}{\sim} (R_t^\alpha)$  for some  $\alpha \notin \mathbb{Q}$ .

# Orbit and Kakutani equivalence

## Orbit equivalence

- $(T_t)$  and  $(S_t)$  are **orbit equivalent**, if there exists a invertible transformation that maps orbits of  $(T_t)$  to orbits of  $(S_t)$ .
- (Dye's theorem, 1959) Every two ergodic flows are orbit equivalent.

## Kakutani equivalence, 1943

$(T_t) : (X, \mathcal{B}, \mu) \rightarrow (X, \mathcal{B}, \mu)$  and  $(S_t) : (Y, \mathcal{C}, \nu) \rightarrow (Y, \mathcal{C}, \nu)$  are **Kakutani equivalent** (denoted  $(T_t) \stackrel{K}{\sim} (S_t)$ ), if they have isomorphic **sections**, i.e. can be represented as special flows over the same transformation.

## Standard flows

- Let  $(R_t^\alpha) : \mathbb{T}^2 \rightarrow \mathbb{T}^2$ ,  $R_t^\alpha(x, y) = (x + t, y + t\alpha)$ . Then, for every  $\alpha, \beta \notin \mathbb{Q}$ ,  $(R_t^\alpha) \stackrel{K}{\sim} (R_t^\beta)$  (Katok, 1976; Ornstein, Rudolph, Weiss, 1982);
- $(T_t)$  is **standard**, if  $(T_t) \stackrel{K}{\sim} (R_t^\alpha)$  for some  $\alpha \notin \mathbb{Q}$ .

# Orbit and Kakutani equivalence

## Orbit equivalence

- $(T_t)$  and  $(S_t)$  are **orbit equivalent**, if there exists a invertible transformation that maps orbits of  $(T_t)$  to orbits of  $(S_t)$ .
- (Dye's theorem, 1959) Every two ergodic flows are orbit equivalent.

## Kakutani equivalence, 1943

$(T_t) : (X, \mathcal{B}, \mu) \rightarrow (X, \mathcal{B}, \mu)$  and  $(S_t) : (Y, \mathcal{C}, \nu) \rightarrow (Y, \mathcal{C}, \nu)$  are **Kakutani equivalent** (denoted  $(T_t) \stackrel{K}{\sim} (S_t)$ ), if they have isomorphic **sections**, i.e. can be represented as special flows over the same transformation.

## Standard flows

- Let  $(R_t^\alpha) : \mathbb{T}^2 \rightarrow \mathbb{T}^2$ ,  $R_t^\alpha(x, y) = (x + t, y + t\alpha)$ . Then, for every  $\alpha, \beta \notin \mathbb{Q}$ ,  $(R_t^\alpha) \stackrel{K}{\sim} (R_t^\beta)$  (Katok, 1976; Ornstein, Rudolph, Weiss, 1982);
- $(T_t)$  is **standard**, if  $(T_t) \stackrel{K}{\sim} (R_t^\alpha)$  for some  $\alpha \notin \mathbb{Q}$ .

# Some results on Kakutani equivalence

## Standard systems

- finite rank systems (Ornstein, Rudolph, Weiss, 1982);
- closed under factors, inverse limits, compact extensions (Katok, 1976; Ornstein, Rudolph, Weiss, 1982)
- horocycle flows (Ratner, 1978)

## Non-standard systems

- first example due to Feldman, 1975;
- uncountably many pairwise non Kakutani equivalent systems (Ornstein, Rudolph, Weiss, 1982);
- Let  $(h_t)$  denote the horocycle flow on  $SL(2, \mathbb{R})/\Gamma$ . Then  $(h_t)^k \stackrel{K}{\approx} (h_t)^l$  for  $k \neq l$  (Ratner, 1980).

# Some results on Kakutani equivalence

## Standard systems

- finite rank systems (Ornstein, Rudolph, Weiss, 1982);
- closed under factors, inverse limits, compact extensions (Katok, 1976; Ornstein, Rudolph, Weiss, 1982)
- horocycle flows (Ratner, 1978)

## Non-standard systems

- first example due to Feldman, 1975;
- uncountably many pairwise non Kakutani equivalent systems (Ornstein, Rudolph, Weiss, 1982);
- Let  $(h_t)$  denote the horocycle flow on  $SL(2, \mathbb{R})/\Gamma$ . Then  $(h_t)^k \stackrel{K}{\approx} (h_t)^l$  for  $k \neq l$  (Ratner, 1980).

# Some results on Kakutani equivalence

## Standard systems

- finite rank systems (Ornstein, Rudolph, Weiss, 1982);
- closed under factors, inverse limits, compact extensions (Katok, 1976; Ornstein, Rudolph, Weiss, 1982)
- horocycle flows (Ratner, 1978)

## Non-standard systems

- first example due to Feldman, 1975;
- uncountably many pairwise non Kakutani equivalent systems (Ornstein, Rudolph, Weiss, 1982);
- Let  $(h_t)$  denote the horocycle flow on  $SL(2, \mathbb{R})/\Gamma$ . Then  $(h_t)^k \stackrel{K}{\approx} (h_t)^l$  for  $k \neq l$  (Ratner, 1980).

# Some results on Kakutani equivalence

## Standard systems

- finite rank systems (Ornstein, Rudolph, Weiss, 1982);
- closed under factors, inverse limits, compact extensions (Katok, 1976; Ornstein, Rudolph, Weiss, 1982)
- horocycle flows (Ratner, 1978)

## Non-standard systems

- first example due to Feldman, 1975;
- uncountably many pairwise non Kakutani equivalent systems (Ornstein, Rudolph, Weiss, 1982);
- Let  $(h_t)$  denote the horocycle flow on  $SL(2, \mathbb{R})/\Gamma$ . Then  $(h_t)^k \stackrel{K}{\approx} (h_t)^l$  for  $k \neq l$  (Ratner, 1980).

# Some results on Kakutani equivalence

## Standard systems

- finite rank systems (Ornstein, Rudolph, Weiss, 1982);
- closed under factors, inverse limits, compact extensions (Katok, 1976; Ornstein, Rudolph, Weiss, 1982)
- horocycle flows (Ratner, 1978)

## Non-standard systems

- first example due to Feldman, 1975;
- uncountably many pairwise non Kakutani equivalent systems (Ornstein, Rudolph, Weiss, 1982);
- Let  $(h_t)$  denote the horocycle flow on  $SL(2, \mathbb{R})/\Gamma$ . Then  $(h_t)^k \stackrel{K}{\approx} (h_t)^l$  for  $k \neq l$  (Ratner, 1980).



# Some results on Kakutani equivalence

## Standard systems

- finite rank systems (Ornstein, Rudolph, Weiss, 1982);
- closed under factors, inverse limits, compact extensions (Katok, 1976; Ornstein, Rudolph, Weiss, 1982)
- horocycle flows (Ratner, 1978)

## Non-standard systems

- first example due to Feldman, 1975;
- uncountably many pairwise non Kakutani equivalent systems (Ornstein, Rudolph, Weiss, 1982);
- Let  $(h_t)$  denote the horocycle flow on  $SL(2, \mathbb{R})/\Gamma$ . Then  $(h_t)^k \stackrel{K}{\approx} (h_t)^l$  for  $k \neq l$  (Ratner, 1980).

# Some results on Kakutani equivalence

## Standard systems

- finite rank systems (Ornstein, Rudolph, Weiss, 1982);
- closed under factors, inverse limits, compact extensions (Katok, 1976; Ornstein, Rudolph, Weiss, 1982)
- horocycle flows (Ratner, 1978)

## Non-standard systems

- first example due to Feldman, 1975;
- uncountably many pairwise non Kakutani equivalent systems (Ornstein, Rudolph, Weiss, 1982);
- Let  $(h_t)$  denote the horocycle flow on  $SL(2, \mathbb{R})/\Gamma$ . Then  $(h_t)^k \stackrel{K}{\approx} (h_t)^l$  for  $k \neq l$  (Ratner, 1980).

## Kakutani invariant (Ratner, 1980)

- $(T_t) \mapsto e((T_t)) \in [0, +\infty]$ ;
- If  $(T_t) \stackrel{K}{\sim} (S_t)$ , then  $e((T_t)) = e((S_t))$ .

## $\bar{f}$ -metric

Fix a finite partition  $\mathcal{P}$  and  $\epsilon > 0$ . For  $N > 0$ ,  $x, y \in X$  are  $(\epsilon, \mathcal{P})$ -matchable for time  $N$  if there exists a set  $A \subset [0, N]$ ,  $|A| > (1 - \epsilon)N$  and an increasing, measure preserving map  $h : A \rightarrow h(A)$  such that  $T_t x$  and  $T_{h(t)} y$  are in one atom of  $\mathcal{P}$  for  $t \in A$ .

# Kakutani invariant of M. Ratner

## Kakutani invariant (Ratner, 1980)

- $(T_t) \mapsto e((T_t)) \in [0, +\infty]$ ;
- If  $(T_t) \stackrel{K}{\sim} (S_t)$ , then  $e((T_t)) = e((S_t))$ .

## $\bar{f}$ -metric

Fix a finite partition  $\mathcal{P}$  and  $\epsilon > 0$ . For  $N > 0$ ,  $x, y \in X$  are  $(\epsilon, \mathcal{P})$ -matchable for time  $N$  if there exists a set  $A \subset [0, N]$ ,  $|A| > (1 - \epsilon)N$  and an increasing, measure preserving map  $h : A \rightarrow h(A)$  such that  $T_t x$  and  $T_{h(t)} y$  are in one atom of  $\mathcal{P}$  for  $t \in A$ .

## Kakutani invariant (Ratner, 1980)

- $(T_t) \mapsto e((T_t)) \in [0, +\infty]$ ;
- If  $(T_t) \stackrel{K}{\sim} (S_t)$ , then  $e((T_t)) = e((S_t))$ .

## $\bar{f}$ -metric

Fix a finite partition  $\mathcal{P}$  and  $\epsilon > 0$ . For  $N > 0$ ,  $x, y \in X$  are  $(\epsilon, \mathcal{P})$ -matchable for time  $N$  if there exists a set  $A \subset [0, N]$ ,  $|A| > (1 - \epsilon)N$  and an increasing, measure preserving map  $h : A \rightarrow h(A)$  such that  $T_t x$  and  $T_{h(t)} y$  are in one atom of  $\mathcal{P}$  for  $t \in A$ .

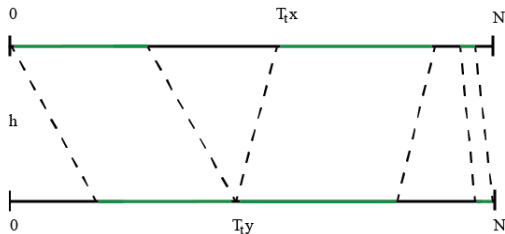
# Kakutani invariant of M. Ratner

## Kakutani invariant (Ratner, 1980)

- $(T_t) \mapsto e((T_t)) \in [0, +\infty]$ ;
- If  $(T_t) \stackrel{K}{\sim} (S_t)$ , then  $e((T_t)) = e((S_t))$ .

## $\bar{f}$ -metric

Fix a finite partition  $\mathcal{P}$  and  $\epsilon > 0$ . For  $N > 0$ ,  $x, y \in X$  are  $(\epsilon, \mathcal{P})$ -matchable for time  $N$  if there exists a set  $A \subset [0, N]$ ,  $|A| > (1 - \epsilon)N$  and an increasing, measure preserving map  $h : A \rightarrow h(A)$  such that  $T_t x$  and  $T_{h(t)} y$  are in one atom of  $\mathcal{P}$  for  $t \in A$ .



# Kakutani equivalence of unipotent flows

Ratner's problem, 1994

What can be said about Kakutani equivalence for unipotent flows on quotients of semisimple Lie groups?

Setting

- $G$  is a semisimple matrix Lie group with Lie algebra  $Lie(G)$ ;
- $U \in Lie(G)$  is such that  $ad_U$  is **nilpotent**, where  $ad_U : Lie(G) \rightarrow Lie(G)$ ,  $ad_U(V) = [U, V]$ ;
- $\Gamma$  is a **uniform lattice** in  $G$ ;
- $\phi_t^U : G/\Gamma \rightarrow G/\Gamma$ ,  $\phi_t^U(x\Gamma) = \exp(tU)x\Gamma$ .

# Kakutani equivalence of unipotent flows

## Ratner's problem, 1994

What can be said about Kakutani equivalence for unipotent flows on quotients of semisimple Lie groups?

## Setting

- $G$  is a semisimple matrix Lie group with Lie algebra  $Lie(G)$ ;
- $U \in Lie(G)$  is such that  $ad_U$  is **nilpotent**, where  $ad_U : Lie(G) \rightarrow Lie(G)$ ,  $ad_U(V) = [U, V]$ ;
- $\Gamma$  is a **uniform lattice** in  $G$ ;
- $\phi_t^U : G/\Gamma \rightarrow G/\Gamma$ ,  $\phi_t^U(x\Gamma) = \exp(tU)x\Gamma$ .



# Kakutani equivalence of unipotent flows

## Ratner's problem, 1994

What can be said about Kakutani equivalence for unipotent flows on quotients of semisimple Lie groups?

## Setting

- $G$  is a semisimple matrix Lie group with Lie algebra  $Lie(G)$ ;
- $U \in Lie(G)$  is such that  $ad_U$  is **nilpotent**, where  $ad_U : Lie(G) \rightarrow Lie(G)$ ,  $ad_U(V) = [U, V]$ ;
- $\Gamma$  is a **uniform lattice** in  $G$ ;
- $\phi_t^U : G/\Gamma \rightarrow G/\Gamma$ ,  $\phi_t^U(x\Gamma) = \exp(tU)x\Gamma$ .

# Kakutani equivalence of unipotent flows

## Ratner's problem, 1994

What can be said about Kakutani equivalence for unipotent flows on quotients of semisimple Lie groups?

## Setting

- $G$  is a semisimple matrix Lie group with Lie algebra  $Lie(G)$ ;
- $U \in Lie(G)$  is such that  $ad_U$  is **nilpotent**, where  $ad_U : Lie(G) \rightarrow Lie(G)$ ,  $ad_U(V) = [U, V]$ ;
- $\Gamma$  is a **uniform lattice** in  $G$ ;
- $\phi_t^U : G/\Gamma \rightarrow G/\Gamma$ ,  $\phi_t^U(x\Gamma) = \exp(tU)x\Gamma$ .

# Kakutani equivalence of unipotent flows

## Ratner's problem, 1994

What can be said about Kakutani equivalence for unipotent flows on quotients of semisimple Lie groups?

## Setting

- $G$  is a semisimple matrix Lie group with Lie algebra  $Lie(G)$ ;
- $U \in Lie(G)$  is such that  $ad_U$  is **nilpotent**, where  $ad_U : Lie(G) \rightarrow Lie(G)$ ,  $ad_U(V) = [U, V]$ ;
- $\Gamma$  is a **uniform lattice** in  $G$ ;
- $\phi_t^U : G/\Gamma \rightarrow G/\Gamma$ ,  $\phi_t^U(x\Gamma) = \exp(tU)x\Gamma$ .

## Chain basis for unipotent elements

$U \in \text{Lie}(G)$  is a unipotent element. There exists a **basis**  $(X_j^i)_{1 \leq j \leq m_i, 1 \leq i \leq K}$ , of  $\text{Lie}(G)$  such that

$$X_{m_i}^i \xrightarrow{\text{ad}_U} X_{m_i-1}^i \xrightarrow{\text{ad}_U} \dots \xrightarrow{\text{ad}_U} X_1^i \xrightarrow{\text{ad}_U} 0,$$

for every  $1 \leq i \leq K$ . In particular,  $X_1^i \in C(U)$  for  $1 \leq i \leq K$ .

## Growth number of $U$

Let

$$GR(U) := \frac{1}{2} \sum_{i=1}^K m_i(m_i - 1).$$

## Chain basis for unipotent elements

$U \in \text{Lie}(G)$  is a unipotent element. There exists a **basis**  $(X_j^i)_{1 \leq j \leq m_i, 1 \leq i \leq K}$ , of  $\text{Lie}(G)$  such that

$$X_{m_i}^i \xrightarrow{\text{ad}_U} X_{m_i-1}^i \xrightarrow{\text{ad}_U} \dots \xrightarrow{\text{ad}_U} X_1^i \xrightarrow{\text{ad}_U} 0,$$

for every  $1 \leq i \leq K$ . In particular,  $X_1^i \in C(U)$  for  $1 \leq i \leq K$ .

## Growth number of $U$

Let

$$GR(U) := \frac{1}{2} \sum_{i=1}^K m_i(m_i - 1).$$

## Main theorem (K., Vinhage, Wei, 2018)

Let  $(\phi_t^U)$  be a unipotent flow on  $G/\Gamma$ . Then

$$e((\phi_t^U)) = GR(U) - 3.$$

Moreover, if  $GR(U) = 3$ , then  $(\phi_t^U)$  is **standard**.

## Corollaries

- The only standard unipotent flows are of the form  $id \times \begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix}$  acting on  $(G \times SL(2, \mathbb{R}))/\Gamma$ , where  $\Gamma$  is irreducible;
- If  $\dim G > 3$  and  $G$  is **simple**, then no unipotent flow on  $G/\Gamma$  is standard.

## Main theorem (K., Vinhage, Wei, 2018)

Let  $(\phi_t^U)$  be a unipotent flow on  $G/\Gamma$ . Then

$$e((\phi_t^U)) = GR(U) - 3.$$

Moreover, if  $GR(U) = 3$ , then  $(\phi_t^U)$  is **standard**.

## Corollaries

- The only standard unipotent flows are of the form  $id \times \begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix}$  acting on  $(G \times SL(2, \mathbb{R}))/\Gamma$ , where  $\Gamma$  is irreducible;
- If  $\dim G > 3$  and  $G$  is **simple**, then no unipotent flow on  $G/\Gamma$  is standard.

## Main theorem (K., Vinhage, Wei, 2018)

Let  $(\phi_t^U)$  be a unipotent flow on  $G/\Gamma$ . Then

$$e((\phi_t^U)) = GR(U) - 3.$$

Moreover, if  $GR(U) = 3$ , then  $(\phi_t^U)$  is **standard**.

## Corollaries

- The only standard unipotent flows are of the form  $id \times \begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix}$  acting on  $(G \times SL(2, \mathbb{R}))/\Gamma$ , where  $\Gamma$  is irreducible;
- If  $\dim G > 3$  and  $G$  is **simple**, then no unipotent flow on  $G/\Gamma$  is standard.



## Jakobson-Morozov theorem

For every unipotent  $U \in \text{Lie}(G)$ , there exists  $V, X \in \text{Lie}(G)$  such that

$$[X, U] = 2U, \quad [X, V] = -2V, \quad [U, V] = X.$$

So,  $V \mapsto X \mapsto -2U$  is always one of the chains and hence  $GR(U) \geq 3$ .

## Examples

- $e((h_t)^k) = 3k - 3;$

- Let  $U = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix} \in \text{Lie}(SL(3, \mathbb{R}))$ . Then

$$e((\phi_t^U)) = 10.$$

## Jakobson-Morozov theorem

For every unipotent  $U \in \text{Lie}(G)$ , there exists  $V, X \in \text{Lie}(G)$  such that

$$[X, U] = 2U, \quad [X, V] = -2V, \quad [U, V] = X.$$

So,  $V \mapsto X \mapsto -2U$  is always one of the chains and hence  $GR(U) \geq 3$ .

## Examples

- $e((h_t)^k) = 3k - 3;$

- Let  $U = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix} \in \text{Lie}(SL(3, \mathbb{R}))$ . Then

$$e((\phi_t^U)) = 10.$$

## Jakobson-Morozov theorem

For every unipotent  $U \in \text{Lie}(G)$ , there exists  $V, X \in \text{Lie}(G)$  such that

$$[X, U] = 2U, \quad [X, V] = -2V, \quad [U, V] = X.$$

So,  $V \mapsto X \mapsto -2U$  is always one of the chains and hence  $GR(U) \geq 3$ .

## Examples

- $e((h_t)^k) = 3k - 3$ ;

- Let  $U = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix} \in \text{Lie}(SL(3, \mathbb{R}))$ . Then

$$e((\phi_t^U)) = 10.$$

## Jakobson-Morozov theorem

For every unipotent  $U \in \text{Lie}(G)$ , there exists  $V, X \in \text{Lie}(G)$  such that

$$[X, U] = 2U, \quad [X, V] = -2V, \quad [U, V] = X.$$

So,  $V \mapsto X \mapsto -2U$  is always one of the chains and hence  $GR(U) \geq 3$ .

## Examples

- $e((h_t)^k) = 3k - 3$ ;

- Let  $U = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix} \in \text{Lie}(SL(3, \mathbb{R}))$ . Then

$$e((\phi_t^U)) = 10.$$

## Questions

- Is the flow generated by  $U$  on  $G/\Gamma$  Kakutani equivalent to the flow generated by  $U$  on  $G/\Gamma'$ ?
- Is the Kakutani invariant a **full invariant** in the class of unipotent flows?

## Questions

- Is the flow generated by  $U$  on  $G/\Gamma$  Kakutani equivalent to the flow generated by  $U$  on  $G/\Gamma'$ ?
- Is the Kakutani invariant a **full invariant** in the class of unipotent flows?

## Questions

- Is the flow generated by  $U$  on  $G/\Gamma$  Kakutani equivalent to the flow generated by  $U$  on  $G/\Gamma'$ ?
- Is the Kakutani invariant a **full invariant** in the class of unipotent flows?

THANK YOU !